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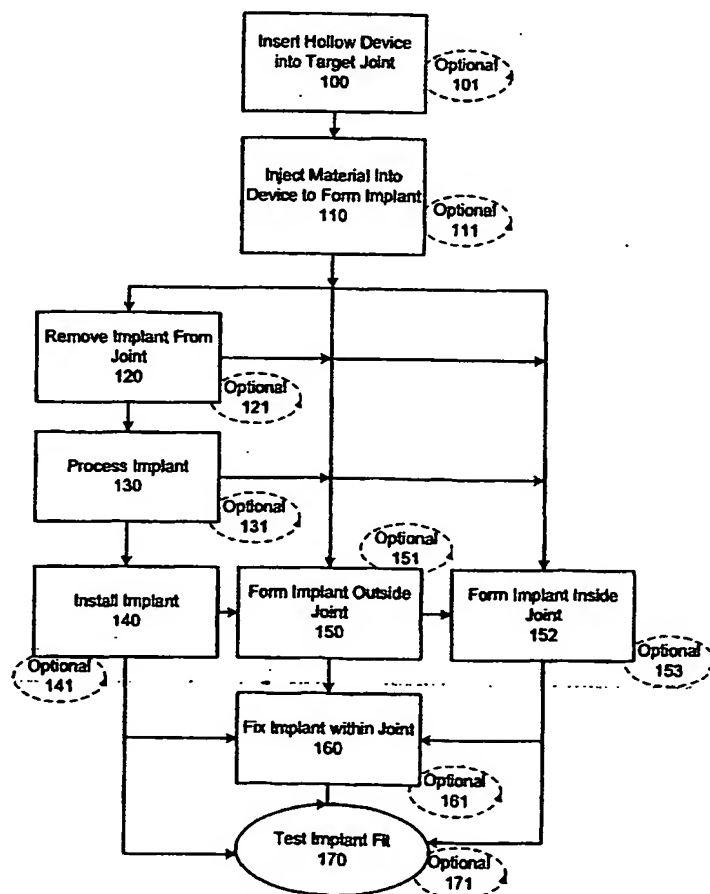
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(54) Title: JOINT ARTHROPLASTY DEVICES FORMED IN SITU



(57) Abstract: Disclosed herein are methods and
devices for repairing articular surfaces. The ar-
ticular surface repairs are customizable or highly
selectable by patient and geared toward providing
optimal fit and function.

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Patent Application For
JOINT ARTHROPLASTY DEVICES FORMED *IN SITU*

FIELD OF THE INVENTION

5 **[0001]** The present invention relates to orthopedic methods, systems and prosthetic devices and more particularly relates to methods, systems and devices for articular surface repair.

BACKGROUND OF THE INVENTION

10 **[0002]** Adult cartilage has a limited ability of repair; thus, damage to cartilage produced by disease, such as rheumatoid and/or osteoarthritis, or trauma can lead to serious physical deformity and debilitation. Furthermore, as human articular cartilage ages, its tensile properties change. The superficial zone of the knee articular cartilage exhibits an increase in tensile strength up to the third decade of life, after which it decreases markedly with age as detectable damage to type II collagen occurs at the articular surface. The deep zone cartilage also exhibits a progressive decrease in tensile strength with increasing age, although collagen content does not appear to decrease. These observations indicate that there are changes in mechanical and, hence, structural organization of cartilage with aging that, if sufficiently developed, can predispose cartilage to traumatic damage.

20 **[0003]** For example, the superficial zone of the knee articular cartilage exhibits an increase in tensile strength up to the third decade of life, after which it decreases markedly with age as detectable damage to type II collagen occurs at the articular surface. The deep zone cartilage also exhibits a progressive decrease in tensile strength with increasing age, although collagen content does not appear to decrease. These

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observations indicate that there are changes in mechanical and, hence, structural organization of cartilage with aging that, if sufficiently developed, can predispose cartilage to traumatic damage.

[0004] Once damage occurs, joint repair can be addressed through a number of approaches. One approach includes the use of matrices, tissue scaffolds or other carriers implanted with cells (e.g., chondrocytes, chondrocyte progenitors, stromal cells, mesenchymal stem cells, etc.).

These solutions have been described as a potential treatment for cartilage and meniscal repair or replacement. See, also, International Publications WO 99/51719 to Fofonoff, published October 14, 1999; WO01/91672 to Simon et al., published 12/6/2001; and WO01/17463 to Mannsmann, published March 15, 2001. However, clinical outcomes with biologic replacement materials such as allograft and autograft systems and tissue scaffolds have been uncertain since most of these materials cannot achieve a morphologic arrangement or structure similar to or identical to that of normal, disease-free human tissue it is intended to replace. Moreover, the mechanical durability of these biologic replacement materials remains uncertain.

[0005] Usually, severe damage or loss of cartilage is treated by replacement of the joint with a prosthetic material, for example, silicone, e.g. for cosmetic repairs, or metal alloys. See, e.g., U.S. Patent No. 6,383,228 to Schmotzer, issued May 7, 2002. Implantation of prosthetic devices is usually associated with loss of underlying tissue and bone without recovery of the full function allowed by the original cartilage and, with some devices, serious long-term complications associated with the loss of significant amount of tissue and bone can include infection, osteolysis and also loosening of the implant.

[0006] Further, joint arthroplasties are highly invasive and require surgical resection of the entire or the majority of the articular surface of

one or more bones. With these procedures, the marrow space is reamed in order to fit the stem of the prosthesis. The reaming results in a loss of the patient's bone stock. U.S. Patent 5,593,450 to Scott et al. issued January 14, 1997 discloses an oval domed shaped patella prosthesis. The prosthesis has a femoral component that includes two condyles as articulating surfaces. The two condyles meet to form a second trochlear groove and ride on a tibial component that articulates with respect to the femoral component. A patella component is provided to engage the trochlear groove. U.S. Patent 6,090,144 to Letot et al. issued July 18, 2000 discloses a knee prosthesis that includes a tibial component and a meniscal component that is adapted to be engaged with the tibial component through an asymmetrical engagement.

[0007] A variety of materials can be used in replacing a joint with a prosthetic, for example, silicone, e.g. for cosmetic repairs, or suitable metal alloys are appropriate. See, e.g., U.S. Patent No. 6,443,991 B1 to Running issued September 3, 2002. Implantation of these prosthetic devices is usually associated with loss of underlying tissue and bone without recovery of the full function allowed by the original cartilage and, with some devices, serious long-term complications associated with the loss of significant amounts of tissue and bone can cause loosening of the implant. One such complication is osteolysis. Once the prosthesis becomes loosened from the joint, regardless of the cause, the prosthesis will then need to be replaced. Since the patient's bone stock is limited, the number of possible replacement surgeries is also limited for joint arthroplasty.

[0008] As can be appreciated, joint arthroplasties are highly invasive and require surgical resection of the entire, or a majority of the, articular surface of one or more bones involved in the repair. Typically with these procedures, the marrow space is fairly extensively reamed in order

to fit the stem of the prosthesis within the bone. Reaming results in a loss of the patient's bone stock and over time subsequent osteolysis will frequently lead to loosening of the prosthesis. Further, the area where the implant and the bone mate degrades over time requiring the prosthesis to eventually be replaced. Since the patient's bone stock is limited, the number of possible replacement surgeries is also limited for joint arthroplasty. In short, over the course of 15 to 20 years, and in some cases even shorter time periods, the patient can run out of therapeutic options ultimately resulting in a painful, non-functional joint.

[0009] Interpositional knee devices that are not attached to both the tibia and femur have been described. For example, Platt et al. (1969) "Mould Arthroplasty of the Knee," *Journal of Bone and Joint Surgery* 51B(1):76-87, describes a hemi-arthroplasty with a convex undersurface that was not rigidly attached to the tibia. Devices that are attached to the bone have also been described. Two attachment designs are commonly used. The McKeever design is a cross-bar member, shaped like a "t" from a top perspective view, that extends from the bone mating surface of the device such that the "t" portion penetrates the bone surface while the surrounding surface from which the "t" extends abuts the bone surface.

See McKeever, "Tibial Plateau Prosthesis," Chapter 7, p. 86. An alternative attachment design is the MacIntosh design, which replaces the "t" shaped fin for a series of multiple flat serrations or teeth. See Potter, "Arthroplasty of the Knee with Tibial Metallic Implants of the McKeever and MacIntosh Design," *Surg. Clins. Of North Am.* 49(4): 903-915 (1969).

[0010] Currently available devices do not always provide ideal alignment with the articular surfaces and the resultant joint congruity. Poor alignment and poor joint congruity can, for example, lead to instability of the joint. In the knee joint, instability typically manifests as a lateral instability of the joint.

[0011] Thus, there remains a need for compositions for joint repair, including methods and compositions that facilitate the integration between the cartilage replacement system and the surrounding cartilage.

SUMMARY OF THE INVENTION

5 **[0012]** The present invention provides novel devices and methods for replacing a portion (e.g., diseased area and/or area slightly larger than the diseased area) of a joint (e.g., cartilage and/or bone) with an implant material, where the implant achieves a near anatomic fit with the surrounding structures and tissues. In cases where the devices and/or
10 methods include an element associated with the underlying articular bone, the invention also provides that the bone-associated element achieves a near anatomic alignment with the subchondral bone. The invention also provides for the preparation of an implantation site with a single cut, or a few relatively small cuts.

15 **[0013]** Disclosed is a customizable, or patient specific, implant configured for placement between joint surfaces formed by inserting a hollow device having an aperture and a lumen into a target joint, and injecting material into the hollow device to form an implant.

[0014] In any of the methods described herein, one or more
20 components of the articular replacement material (e.g., the cartilage replacement material) can be non-pliable, non-liquid, solid or hard. The dimensions of the replacement material can be selected following intraoperative measurements. Measurements can also be made using imaging techniques such as ultrasound, MRI, CT scan, x-ray imaging
25 obtained with x-ray dye and fluoroscopic imaging. A mechanical probe (with or without imaging capabilities) can also be used to select dimensions, for example an ultrasound probe, a laser, an optical probe and a deformable material or device.

[0015] In any of the methods described herein, the replacement material can be selected (for example, from a pre-existing library of repair systems), grown from cells and/or hardened from various materials.

5 Thus, the material can be produced pre- or post-operatively. Furthermore, in any of the methods described herein the repair material can also be shaped (e.g., manually, automatically or by machine), for example using mechanical abrasion, laser ablation, radiofrequency ablation, cryoablation and/or enzymatic digestion.

10 **[0016]** In any of the methods described herein, the articular replacement material can comprise synthetic materials (e.g., metals, liquid metals, polymers, alloys or combinations thereof) or biological materials such as stem cells, fetal cells or chondrocyte cells.

[0017] In any of the embodiments and aspects described herein, the joint can be a knee, shoulder, hip, vertebrae, elbow, ankle, wrist etc.

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BRIEF DESCRIPTION OF THE DRAWINGS

[0018] FIG. 1 is a flow chart illustrating steps for forming a device *in situ*.

[0019] FIG. 2 is a partial cross-sectional view of an inflation device assembly.

20 **[0020]** FIG. 3 is a cross-sectional view taken along the lines 3-3 in FIG. 2.

[0021] FIG. 4 is a cross-sectional view taken along the lines 4-4 of FIG. 2.

25 **[0022]** FIG. 5 is cross-sectional view taken along the lines 5-5 in FIG. 2.

[0023] FIG. 6 is a perspective view of an inflation device according to the invention.

[0024] FIGS. 7A and B are a cross-sectional views of an inflation device. FIG. 7A shows the y axis as the vertical axis; FIG. 7B shows the z axis as the vertical axis.

[0025] FIG. 8 is a perspective view of an inflation device according to the invention.

[0026] FIG. 9 is a cross-sectional view of an inflation device of the invention *in situ*.

[0027] FIG. 10 is a cross-sectional view of an alternate embodiment of an inflation device of the invention *in situ*.

[0028] FIGS. 11A-G illustrate, in cross-section, the use of a plurality of inflation devices to form an implant. FIG. 11A illustrates a single lumen balloon inserted between two joint surfaces where the inflation occurs within the bounds of the joint. FIG. 11B illustrates another single lumen balloon inserted between two joint surfaces where the inflatable surfaces extend beyond a first and second edge of a joint. FIG. 11C illustrates another single lumen balloon between two joint surfaces. FIG. 11D illustrates a multi-balloon solution using two balloons where the balloons are adjacent to each other within the joint. FIG. 11E illustrates an alternative multi-balloon solution wherein a first balloon is comprised within a second balloon. FIG. 11F illustrates another multi-balloon solution where a first balloon lies within the lumen of a second balloon and further wherein the second balloon is adjacent a third balloon. FIG. 11G illustrates a 3 balloon configuration wherein a first balloon lies adjacent a second balloon and a third balloon fits within the lumen of one of the first or second balloon.

[0029] Figs. 12A-E illustrate a variety of cross-sectional shapes achieved using balloons with variable wall thicknesses or material compositions. In FIG. 12A the inflation device enables the implant to achieve a surface conforming to the irregularities of the joint surface. In
5 FIG. 12B the inflation device enables the implant to achieve a surface that sits above the irregular joint surface; FIG. 12C illustrates a device formed where a central portion of the device sits above the joint surface irregularities while the proximal and distal ends illustrated form a lateral abutting surface to the joint defects. FIG. 12D illustrates a device formed
10 using a first inflation device within a second inflation device, with an exterior configuration similar to that shown in FIG. 12A; while FIG. 12E illustrates an alternative device formed using at least two different inflation devices having an exterior shape similar to the device shown in FIG. 12C.

[0030] Figs. 13A-J(1-3) show a variety of cross-sectional views of
15 the inflation devices shown, for example, in Figs. 11 and 12 taken at a position perpendicular to the views shown in Figs. 11 and 12.

DETAILED DESCRIPTION OF THE INVENTION

[0031] The following description is presented to enable any person skilled in the art to make and use the invention. Various modifications to
20 the embodiments described will be readily apparent to those skilled in the art, and the generic principles defined herein can be applied to other embodiments and applications without departing from the spirit and scope of the present invention as defined by the appended claims. Thus, the present invention is not intended to be limited to the embodiments shown,
25 but is to be accorded the widest scope consistent with the principles and features disclosed herein. To the extent necessary to achieve a complete understanding of the invention disclosed, the specification and drawings of

all issued patents, patent publications, and patent applications cited in this application are incorporated herein by reference.

[0032] The present invention provides methods and compositions for repairing joints, particularly for repairing articular cartilage and for facilitating the integration of a wide variety of cartilage repair materials into a subject. The current invention provides, among other things, for minimally invasive methods for partial joint replacement. The methods will require only minimal or, in some instances, no loss in bone stock. Additionally, unlike with current techniques, the methods described herein will help to restore the integrity of the articular surface by achieving an exact or near anatomic match between the implant and the surrounding or adjacent cartilage and/or subchondral bone.

[0033] Advantages of the present invention can include, but are not limited to, (i) customization of joint repair, thereby enhancing the efficacy and comfort level for the patient following the repair procedure; (ii) eliminating the need for a surgeon to measure the defect to be repaired intraoperatively in some embodiments; (iii) eliminating the need for a surgeon to shape the material during the implantation procedure; (iv) providing methods of evaluating curvature of the repair material based on bone or tissue images or based on intraoperative probing techniques; (v) providing methods of repairing joints with only minimal or, in some instances, no loss in bone stock; and (vi) improving postoperative joint congruity.

[0034] Thus, the methods described herein allow for the design and use of joint repair material that more precisely fits the defect (e.g., site of implantation) and, accordingly, provides improved repair of the joint.

[0035] I. REPAIR MATERIALS

[0036] A wide variety of materials find use in the practice of the present invention, including, but not limited to, plastics, metals, crystal free metals, ceramics, biological materials (e.g., collagen or other extracellular matrix materials), hydroxyapatite, cells (e.g., stem cells, chondrocyte cells or the like), or combinations thereof. Based on the information (e.g., measurements) obtained regarding the defect and the articular surface and/or the subchondral bone, a repair material can be formed or selected. Further, using one or more of these techniques described herein, a cartilage replacement or regenerating material having a curvature that will fit into a particular cartilage defect, will follow the contour and shape of the articular surface, and will match the thickness of the surrounding cartilage. The repair material can include any combination of materials, and typically include at least one non-pliable material, for example materials that are not easily bent or changed.

[0037] A. METAL AND POLYMERIC REPAIR MATERIALS

[0038] Currently, joint repair systems often employ metal and/or polymeric materials including, for example, prostheses which are anchored into the underlying bone (e.g., a femur in the case of a knee prosthesis). See, e.g., U.S. Patent No. 6,203,576 to Afriat, et al. issued March 20, 2001 and 6,322,588 to Ogle, et al. issued November 27, 2001, and references cited therein. A wide-variety of metals are useful in the practice of the present invention, and can be selected based on any criteria. For example, material selection can be based on resiliency to impart a desired degree of rigidity. Non-limiting examples of suitable metals include silver, gold, platinum, palladium, iridium, copper, tin, lead, antimony, bismuth, zinc, titanium, cobalt, stainless steel, nickel, iron alloys, cobalt alloys, such as Elgiloy®, a cobalt-chromium-nickel alloy, and MP35N, a nickel-cobalt-

chromium-molybdenum alloy, and Nitinol™, a nickel-titanium alloy, aluminum, manganese, iron, tantalum, crystal free metals, such as Liquidmetal® alloys (available from LiquidMetal Technologies, www.liquidmetal.com), other metals that can slowly form polyvalent metal ions, for example to inhibit calcification of implanted substrates in contact with a patient's bodily fluids or tissues, and combinations thereof.

[0039] Suitable synthetic polymers include, without limitation, polyamides (e.g., nylon), polyesters, polystyrenes, polyacrylates, vinyl polymers (e.g., polyethylene, polytetrafluoroethylene, polypropylene and polyvinyl chloride), polycarbonates, polyurethanes, poly dimethyl siloxanes, cellulose acetates, polymethyl methacrylates, polyether ether ketones, ethylene vinyl acetates, polysulfones, nitrocelluloses, similar copolymers and mixtures thereof. Bioresorbable synthetic polymers can also be used such as dextran, hydroxyethyl starch, derivatives of gelatin, polyvinylpyrrolidone, polyvinyl alcohol, poly[N-(2-hydroxypropyl) methacrylamide], poly(hydroxy acids), poly(epsilon-caprolactone), polylactic acid, polyglycolic acid, poly(dimethyl glycolic acid), poly(hydroxy butyrate), and similar copolymers can also be used.

[0040] Other materials would also be appropriate, for example, the polyketone known as polyetheretherketone (PEEK™). This includes the material PEEK 450G, which is an unfilled PEEK approved for medical implantation available from Victrex of Lancashire, Great Britain. (Victrex is located at www.matweb.com or see Boedeker www.boedeker.com). Other sources of this material include Gharda located in Panoli, India (www.ghardapolymers.com).

[0041] It should be noted that the material selected can also be filled. For example, other grades of PEEK are also available and contemplated, such as 30% glass-filled or 30% carbon filled, provided

such materials are cleared for use in implantable devices by the FDA, or other regulatory body. Glass filled PEEK reduces the expansion rate and increases the flexural modulus of PEEK relative to that portion which is unfilled. The resulting product is known to be ideal for improved strength, stiffness, or stability. Carbon filled PEEK is known to enhance the compressive strength and stiffness of PEEK and lower its expansion rate. Carbon filled PEEK offers wear resistance and load carrying capability.

[0042] The implant can also be comprised of polyetherketoneketone (PEKK). Other materials that can be used include polyetherketone (PEK), polyetherketoneetherketoneketone (PEKEKK), and polyetheretherketoneketone (PEEKK), and generally a polyaryletheretherketone. Further other polyketones can be used as well as other thermoplastics.

[0043] As will be appreciated, other suitable similarly biocompatible thermoplastic or thermoplastic polycondensate materials that resist fatigue, have good memory, are flexible, and/or deflectable have very low moisture absorption, and good wear and/or abrasion resistance, can be used without departing from the scope of the invention.

[0044] Reference to appropriate polymers that can be used for the implant can be made to the following documents, all of which are incorporated herein by reference. These documents include: PCT Publication WO 02/02158 A1, dated Jan. 10, 2002 and entitled Bio-Compatible Polymeric Materials; PCT Publication WO 02/00275 A1, dated Jan. 3, 2002 and entitled Bio-Compatible Polymeric Materials; and PCT Publication WO 02/00270 A1, dated Jan. 3, 2002 and entitled Bio-Compatible Polymeric Materials.

[0045] The substrate can be textured or made porous by either physical abrasion or chemical alteration to facilitate incorporation of the

metal coating. Other processes are also appropriate, such as extrusion, injection, compression molding and/or machining techniques. Typically, the polymer is chosen for its physical and mechanical properties and is suitable for carrying and spreading the physical load between the joint surfaces.

[0046] More than one metal and/or polymer can be used in combination with each other. For example, one or more metal-containing substrates can be coated with polymers in one or more regions or, alternatively, one or more polymer-containing substrate can be coated in one or more regions with one or more metals.

[0047] B. BIOLOGICAL REPAIR MATERIAL

[0048] Repair materials can also include one or more biological material either alone or in combination with non-biological materials. For example, any base material can be designed or shaped and suitable cartilage replacement or regenerating material(s) such as fetal cartilage cells can be applied to be the base. The cells can be then be grown in conjunction with the base until the thickness (and/or curvature) of the cartilage surrounding the cartilage defect has been reached. Conditions for growing cells (e.g., chondrocytes) on various substrates in culture, *ex vivo* and *in vivo* are described, for example, in U.S. Patent Nos. 5,478,739 to Slivka et al. issued December 26, 1995; 5,842,477 to Naughton et al. issued December 1, 1998; 6,283,980 to Vibe-Hansen et al., issued September 4, 2001, and 6,365,405 to Salzmann et al. issued April 2, 2002. Non-limiting examples of suitable substrates include plastic, tissue scaffold, a bone replacement material (e.g., a hydroxyapatite, a bioresorbable material), or any other material suitable for growing a cartilage replacement or regenerating material on it.

5 **[0049]** Biological polymers can be naturally occurring or produced *in vitro* by fermentation and the like. Suitable biological polymers include, without limitation, collagen, elastin, silk, keratin, gelatin, polyamino acids, cat gut sutures, polysaccharides (e.g., cellulose and starch) and mixtures thereof. Biological polymers can be bioresorbable.

10 **[0050]** Biological materials used in the methods described herein can be autografts (from the same subject); allografts (from another individual of the same species) and/or xenografts (from another species). See, also, International Patent Publications WO 02/22014 to Alexander et al. published March 21, 2002 and WO 97/27885 to Lee published August 7, 1997. In certain embodiments autologous materials are preferred, as they can carry a reduced risk of immunological complications to the host, including re-absorption of the materials, inflammation and/or scarring of the tissues surrounding the implant site.

15 **[0051]** Any biological repair material can be sterilized to inactivate biological contaminants such as bacteria, viruses, yeasts, molds, mycoplasmas and parasites. Sterilization can be performed using any suitable technique, for example radiation, such as gamma radiation.

20 **[0052]** Any of the biological materials described herein can be harvested with use of a robotic device. The robotic device can use information from an electronic image for tissue harvesting.

25 **[0053]** In certain embodiments, the cartilage replacement material has a particular biochemical composition. For instance, the biochemical composition of the cartilage surrounding a defect can be assessed by taking tissue samples and chemical analysis or by imaging techniques. For example, WO 02/22014 to Alexander describes the use of gadolinium for imaging of articular cartilage to monitor glycosaminoglycan content within the cartilage. The cartilage replacement or regenerating material

can then be made or cultured in a manner, to achieve a biochemical composition similar to that of the cartilage surrounding the implantation site. The culture conditions used to achieve the desired biochemical compositions can include, for example, varying concentrations.

5 Biochemical composition of the cartilage replacement or regenerating material can, for example, be influenced by controlling concentrations and exposure times of certain nutrients and growth factors.

[0054] II. DEVICES DESIGN -- DEVICE MODELING *IN SITU*

10 **[0055]** As shown in FIG. 1, the defect repair is modeled *in situ*. One approach involves inserting a hollow device, such as a balloon, into the target joint **100**. Any device capable of accepting, for example, injections of material would be suitable. Suitable injection materials include, for example, polymers and other materials discussed in Section I, above, can be used without departing from the scope of the invention. Other suitable
15 materials could also be used without departing from the scope of the invention.

[0056] In one embodiment it is contemplated that an insertion device has a substantially fixed shape that matches at least one articular surface or subchondral bone of the joint. After inserting the insertion
20 device **100**, material is injected into the joint through the insertion device **110** where it then hardens *in situ*, forming an implant **152**. The injection material can optionally bond to the device while hardening.

[0057] Alternatively, the implant can be removed after hardening **120** for further processing **130**, such as polishing, e.g. as described
25 Section III.

[0058] Where the implant is removable after hardening *in situ*, it can be preferable to have the implant be formed so that it is collapsible,

foldable or generally changeable in shape to facilitate removal. After processing, the implant can be reinstalled **140**.

[0059] One or more molds can be applied to one or more articular surfaces. The mold can have an internal surface facing the articular surface that substantially conforms to the shape of the articular cartilage and/or the shape of the subchondral bone. A hardening material including a polymer or metals can then be injected through an opening in the mold. The opening can include a membrane that allows insertion of an injection device such as a needle. The membrane helps to avoid reflux of the injected material into the joint cavity. Alternatively, the mold can be made of a material that provides sufficient structural rigidity to allow hardening of the injected substance with the proper shape while allowing for placement of needles and other devices through the mold.

[0060] Additionally, the implant device can be composed of a plurality of subcomponents, where the volume or size of each of the subcomponents is smaller than the volume of the implant. The different subcomponents can be connected or assembled prior to insertion into the joint **150** (whether outside the body or adjacent the joint but within or substantially within the body), or, in some instances, can be assembled after insertion to the joint **152**. The subcomponents can be disassembled inside the joint, or adjacent the joint, once hardening of the injectable material has occurred.

[0061] Additionally, the implant can be fixed to the surface of the bone after implantation **160**. For example, fixation mechanisms can include mechanical structures such as fins, keels, teeth and pegs or non-mechanical means, such as bone cement, *etc.* Typically after the device is implanted and/or fixed within the joint, the functionality of the implant is tested **170** to determine whether it enables the joint to engage in a desired

range of motion. As will be appreciated by those of skill in the art, one or more of these steps can be repeated without departing from the scope of the invention, as shown by the optional repeat steps **101, 111, 121, 131, 141, 151, 153, 161 and 171.**

5 **[0062]** In other embodiments, portions of the device can be rigid, or substantially rigid, while other portions of the device are deformable or malleable. Alternatively, a portion of the device can be relatively more rigid than another portion, without any requirement that any section be rigid, deformable or malleable, but that sections vary in hardness relative to
10 another section. In this manner the shape of the rigid, substantially rigid, or relatively more rigid section can be determined, for example, using an imaging test. In contrast, it is possible that the malleable, deformable, or relatively more deformable portion of the implantation device can then take the shape of one or more articular surface *in situ*. This occurs particularly
15 after the implantation material has been injected and while the material is hardening *in situ*. In still other embodiments, the entire device can be deformable.

[0063] In other embodiments, the implantation device can be expandable or collapsible. For example, a support structure such as a
20 Nitinol™ mesh can be inserted into the joint. Insertion can occur via, for example, a catheter or an arthroscopy portal. Once inside the joint, the implantation device can then be expanded. The implantation device can include a receptacle, such as a bag, to receive the injection of hardening material, such as polyethylene or other liquid including metal preparations.
25 The receptacle portion of the implantation device can be bio-resorbable and/or can bond with the injected material. Alternatively, the implantation device can be removed subsequent to injecting the material. Where a supporting material is used, the supporting material can be removed concurrently or subsequent to the removal of the implantation device,

either via an incision or by collapsing the implantation device and removing it via, for example, the catheter or arthroscopy portal.

[0064] In another embodiment, a balloon such as that shown in Figs. 11A-E, can be used as the implantation device. Different balloon shapes and sizes can be made available. A detailed description of all possible shapes and sizes for the balloons is not included to avoid obscuring the invention, but would be apparent to those of skill in the art. Where a balloon is used, it can be inserted into a joint and inflated. The size, height, shape and position of the balloon can be evaluated arthroscopically or via an open incision or using, for example, an imaging test relative to the articular surface and the other articular strictures. Range of motion testing can be performed in order to ensure adequate size, shape and position of the device during the full range of motion.

[0065] After insertion, the balloon can be injected with, for example, a self-hardening material, or material that hardens upon activation. Suitable materials are described below and would be apparent to those of skill in the art. Typically, upon injection, the material is in a fluid or semi-fluid state. The material expands the balloon as it is injected which results in the balloon taking on the shape of the articular surface, for example as shown in FIG. 11A, and other articular structures such that it fills the defect.

[0066] The balloon can be slowly injected with a self hardening or hardening material such as a polymer and even metals. The material is initially in a fluid or semi-fluid state. The material expands the balloon whereby the shape of the balloon will take substantially the shape of the articular surface(s) and other articular structures. The polymer will subsequently harden inside the balloon thereby substantially taking the shape of the articular cavity and articular surface(s) / structures. The

balloon can also be composed of a bio-resorbable material. The balloon can also be removed after the procedure.

5 **[0067]** Referring now to the drawings, wherein similar parts are identified by like reference numerals, **FIG. 2** illustrates an inflation device assembly **10**. Inflation device assembly **10** is intended to broadly include any medical device designed for insertion into a physiological space or lumen to permit injection and/or withdrawal of fluids, to maintain the patency of the lumen, or for any other purpose. It is contemplated that the apparatus has applicability for use with any physiological lumen or space
10 where forming a device *in situ* is desired.

[0068] Inflation device assembly **10** includes a first tube **12** having a proximal end (not illustrated) and distal end **14**, and a second tube **16**, having a proximal end (not illustrated) and a distal end **18**. The second tube **16** is telescopically disposed over the first tube **12**.

15 **[0069]** The first tube **12** can include a lumen **20** for allowing first tube **12** to be fed over and maneuvered over a navigation device, such as a guidewire. A first balloon **22** is disposed on distal end **14**. First balloon **22** is selectively inflatable to dilate from a collapsed configuration to a desired and controlled expanded configuration. First balloon **22** can be
20 selectively inflated by supplying a fluid into a first inflation lumen **24** at a predetermined rate of pressure, for example 1-20 atm. First balloon **22** is also selectively deflatable, after inflation, to return to the collapsed configuration or a deflated profile. In one embodiment, first tube **12** can include a port **26** in fluid communication with port lumen **28**. Port **26** allows
25 for injection or withdrawal of fluids through port lumen **28**. Port **26** can be embodied by many different types of openings such as, but not limited to, holes, slits, annual gaps, porous membranes and osmotic filters.

[0070] Referring to Figs. 3, 4, and 5, second tube 16 includes a central lumen 30 sized so as to slidably receive first tube 12, but large enough so as to create an annular gap 32 between the outer surface of first tube 12 and the inner surface of central lumen 30. The space between the surfaces can be from about 0.25 mm to about 0.4 mm. Annular gap 32 functions as a second port which additionally allows for injection or withdrawal of fluids by a user. A second balloon 34 is disposed on distal end 18 and is in fluid communication with an inflation lumen 36. Second balloon 34 performs a similar function to that of first balloon 22 and can be sized to have the same outer diameter in the desired inflated state. The inflation of first and second balloons 22 and 34 create a treatment space for the application of a therapeutic substance prior to placing an inflation device for forming a device *in situ* with the treatment space. Annular gap 32 used in conjunction with port 26 can also provide for the withdrawal of the bodily fluids, application of a therapeutic substance, and the re-circulation and application of the substance in the treatment space for maintaining the concentration of the therapeutic substance at a therapeutically acceptable level for a selected duration of time.

[0071] First and second balloons 22 and 34 can be made from any suitable material, including, but not limited to, polymers and copolymers of polyolefins, polyamides, polyesters and the like. The specific material employed must be mutually compatible with the fluids employed in conjunction with balloons 22 and 34 and must be able to stand the pressures that are developed therein. The balloons 22 and 34 can have any suitable thickness so long as the thickness does not compromise properties that are critical for achieving optimum performance. The properties include high burst strength, low compliance, good flexibility, high resistance to fatigue, the ability to fold, the ability to cross and recross a desired region of treatment or an occluded region in a lumen, and low

susceptibility to defect caused by handling. By way of example, and not limitation, the thickness can be in the range of about 10 microns to about 50 microns, the diameters of balloons **22** and **34** in the expanded configuration can be in the range of about 2 mm to about 30 mm and the lengths can be in the range of about 5 mm to about 20 mm, the specific specifications depending on the procedure for which balloons **22** and **34** are to be used and the anatomy and size of the target lumen in which the balloons are to be inserted.

[0072] In accordance with another embodiment of the invention, first or second balloons **22** and **34** can also be used to deliver a therapeutic substance to the treatment space should either of balloons **22** and **34** be embodied so as to include a porous membrane. A therapeutic substance can be included in the fluid that is used to inflate balloons **22** or **34**. To more effectively deliver a therapeutic substance to the treatment space, the balloon membrane can be exclusively porous on the portion of the membrane that faces the treatment space.

[0073] One of ordinary skill in the art can appreciate that any number of balloons can be included with the first or second tubes **12** and **16**. Such balloons can be strategically placed so as to serve its intended function, such as device placement.

[0074] In another embodiment, an ultrasonic transducer and/or an electrode element (not shown) can be carried by, for example, tube **12**. Use of the ultrasonic transducer or electrode element is advantageous because tissue temperature and capillary and cellular permeability may be increased. These results may enhance intra-tissue transport of a substance, and enhance cellular uptake. As is understood by one of ordinary skill in the art, an ultrasonic transducer can be barium titanate, lead zirconate titanate, or the like.

[0075] Turning now to FIG. 6, a perspective view of the inflation device **10** is shown. The distal end **18** of the first tube **12** is shown with the balloon **34** inflated. The second tube **16** has been slidably placed over the first tube **12**. FIG. 7 illustrates alternate embodiments of the balloon **22** of the first tube **12**. As shown in FIG. 7A, in a first orientation, the balloon **34** extends outward in a radial fashion away from the central axis of the inflation device **10**. When rotated 90 degrees, the balloon extends outwardly in a radial fashion but also forms backward extending wings **58**, thus creating a space **60** between the first and second balloons **22**, **34**. As will be appreciated by those of skill in the art, the balloons **22**, **34** can be configured so that the second balloon **34** forms wings that extend forward toward the first balloon **22** to create space **60**. Alternatively, the balloons **22**, **34** can be configured such that the profile in both axis are as shown in either FIG. 7A or FIG. 7B,

[0076] Turning now to FIG. 8, a first tube **12** and a second tube **16**, with a third tube **62** extending out from the port **26** located on the first tube **12**.

[0077] FIG. 9 illustrates one configuration of the invention inserted between the femur and the tibia in a knee joint. As illustrated, the first tube **12** is extended through the joint space to a distal end of the joint, relative to the position of the physician advancing the tube, and a second tube **16** is advanced over the first tube **12**. Each tube is inflated such that its balloon surfaces contact surfaces of the joint, e.g. on the tibial side **13** and the femoral side **15**. After the balloon portions **22**, **34** of the tubes **12**, **14** are inflated, a third tube **62** is advanced through a lumen such that it sits between the first and second tube **12**, **14** in the space created by the balloons **22**, **34** thereof. The balloon **64** of the third tube **62** is then inflated to conform to the surfaces of the femur and tibia and to conform to any defects on the surfaces of the tibia and/or the femur.

[0078] FIG. 10 illustrates another configuration of the invention inserted between the femur and the tibia in a knee joint. As illustrated, the first tube **11** is extended through the joint space to a distal end of the joint, relative to the position of the physician advancing the tube. The first tube depicted in this figure has two balloon portions **23, 35**. The tube is inflated such that its balloon portions **23, 35** expand and contact surfaces of the joint, e.g. on the tibial side **13** and the femoral side **15**. After the balloon portions **22, 34** of the tubes **12, 14** are inflated, a second tube **63** is advanced through a lumen such that it sits between the balloon portions **23, 35** of the first tube **11**. The balloon **65** of the second tube **63** is then inflated to conform to the surfaces of the femur and tibia and to conform to any defects on the surfaces of the tibia and/or the femur.

[0079] Comparing, for example, the embodiments illustrated in FIGS. 11A-C, FIG. 11A illustrates a single balloon **1100** inserted between two joint surfaces **1102, 1104** of a joint **1110**. In this figure, the joint surfaces are illustrated with associated cartilage **1106, 1108**. The proximal end **1112** of the balloon is configured to communicate with a device that enables the balloon to be inflated, e.g. by filling the balloon **1100** with a substance. Substances include, but are not limited to, air, polymers, crystal free metals, or any other suitable material, such as those discussed in Section I above. The balloon **1100** of FIG. 11A is configured such that the distal end of the balloon **1114** does not extend beyond distal end of the joint **1120** (where the distal end of the joint is defined relative to the area of the joint where the balloon entered the joint).

[0080] FIG. 11B illustrates an alternative balloon **1130** wherein the distal end **1114** of the balloon **1130** and the proximal end **1113** of the balloon **1130** extends beyond the distal **1120** and proximal **1122** end of the joint. This extension can be optimized for flexion and extension by using different balloon sizes. FIG. 11C illustrates a balloon **1140** wherein the

balloon **1140** is configured such that the distal end **1114** of the balloon **1140** extends beyond the distal **1120** of the joint while the proximal end **1114** of the balloon **1140** does not extend beyond the end of the joint. As will be appreciated by those of skill in the art, other permutations are possible without departing from the scope of the invention.

[0081] Additionally, a sharp instrument such as a scalpel can be inserted into the balloon or adjacent to the balloon and the balloon can be cut or slit. The balloon can then be pulled back from the hardened material and removed from the joint, preferably through a catheter or an arthroscopy portal.

[0082] More than one balloon can be used as illustrated in Figs. **11D-G**. Where a plurality of balloons used, the balloons can be configured such that the balloons are inserted side-by-side as shown by **1150, 1152** in FIG. **11D**, inserted in different compartments as shown by **1154, 1156** in FIG. **11E**, one or more balloons are encompassed within the lumen of another balloon, as shown by **1160, 1162** and **1170, 1172, 1174** in Figs. **11F-G**, in a top-bottom relationship, and/or combinations thereof.

[0083] Each balloon can have the same or different wall thickness or can be composed of the same or different materials. As a result of differences in material, a person of skill in the art will appreciate that the amount of pressure required to expand each of the balloons can vary either uniformly or in a non-uniform fashion. These pressures would be known to a person of skill in the art and are not discussed at length herein to avoid obscuring the invention.

[0084] For example, in one scenario the superior and inferior surface of a first, inner balloon, can have a low inflation pressure relative to a second balloon. Thus, as the material is injected, the pressure created inside the lumen of the balloon is directly transmitted to one or more

articular surface. In this manner, the distance between the two articular surfaces can be controlled and a minimum distance can be obtained ensuring a sufficient thickness of the resultant implant. This embodiment can be useful in areas within or bordering the contact zone of the articular surface.

5 [0085] A second outer or peripheral balloon can be provided that requires a higher inflation pressure relative to the first balloon. The inner, low inflation pressure balloon can be placed in the weight-bearing zone. The same balloon can also have different wall properties in different regions of the balloon, e.g. a rigid wall with high inflation pressures in the periphery and a less rigid wall with intermediate or low inflation pressures in the center.

10 [0086] Alternatively, a first balloon, having a low inflation pressure relative to a second balloon is provided in an area bordering the contact zone of the articular surface. Again, as material is injected, the pressure created inside the lumen of the balloon is directly transmitted to one or more articular surface. In this manner, the distance between the two articular surfaces can be controlled and a minimum distance can be obtained ensuring a sufficient thickness of the resultant implant.

20 [0087] A second balloon can be provided at an area where there is relatively more weight bearing. This balloon can be configured to require a higher inflation pressure relative to the first balloon.

25 [0088] Differences in wall thickness, pressure tolerances and expandability of balloons can also be used to influence the resulting shape of the injected material.

[0089] The results of using inflation devices, or balloons, with differing wall thicknesses or pressure tolerances is shown in FIGS. 12A-F. As shown in FIG. 12A the balloon 1200 has an upper surface 1210 and a

lower surface **1212** along with a proximal end **1214** and a distal end **1216**. The relative pressure tolerance of the balloon or inflation device **1200** is lower on the lower surface **1212** than the upper surface **1210**. As a result, the upper surface of the balloon **1210** has a relatively flat configuration relative to its corresponding joint surface while the lower surface **1212** has a relatively conforming shape relative to its corresponding joint surface.

[0090] Turning now to FIG. 12B, the inflation device used **1220** has a relatively constant pressure tolerance that is relatively high which results in both the upper surface **1210** and the lower surface **1212** having relatively flat configurations relative to each of its corresponding joint surfaces, regardless of the joint surface anatomy.

[0091] FIG. 12c illustrates a balloon **1230** having a low inflation pressure at its proximal **1214** and distal **1216** ends, with a higher inflation pressure at a central region **1218**. The result of this configuration is that when the balloon is inflated, the proximal and distal ends inflate to a greater profile (e.g., height) than the central region. The inflation pressure of the central region, although higher than the proximal and distal ends, can be set such that the central region has a relatively flat configuration relative to the corresponding joint surfaces, as shown, or can be configured to achieve the result shown in FIG. 12A.

[0092] As will be appreciated by those of skill in the art, any of these balloons can be configured to have varying properties resulting in portions of the wall being less rigid than other portions, within the same balloon, e.g. a rigid wall with high inflation pressures in the periphery and a less rigid wall with intermediate or low inflation pressures in the center. Where there is more than one thickness to the balloon, it could, for example, have less stiffness anteriorly; greater stiffness centrally, and less stiffness posteriorly. The wall thickness variability will enable the device to

accommodate shape formation. Central thickness will help prevent the device from fully conforming to the irregular surface of the joint, which may be important where there are irregularities to the joint surface, such as bone spurs. Alternatively, if the central portion is of less stiffness than the anterior and posterior sections, the device would be configured to conform more closely to the shape of the joint surface, including any irregularities. The closer the device conforms to the joint shape, the more the device seats within the joint.

[0093] Optionally, the surgeon can elect to remove surface irregularities, including bone spurs. This can be done using known techniques such as arthroscopy or open arthrotomy.

[0094] Where more than one balloon is used, the different balloons can have different shapes and sizes. Shape and size can be adjusted or selected for a given patient and joint. In addition to size and shape differences of the balloons, each of the balloons can also be configured to have different and/or varying wall thicknesses. For example, one balloon could be configured with a central portion that is less stiff than the anterior and posterior sections while a second balloon could be configured so that the central portion is of greater stiffness than the anterior and posterior section.

[0095] FIGS. 12D-E illustrate configurations using two balloons. As shown in FIG. 12D the first balloon **1244** sits within a second balloon **1242** to form an inflation device **1240**. In this embodiment, the inferior surface **1246** of the external second balloon **1242** is configured with an inflation pressure that enables at least one surface of the device to conform, or substantially conform, to the corresponding joint surface. FIG. 12E also illustrates a two balloon configuration **1250** with a first balloon **1254** and a second balloon **1252**. In this embodiment, the inflation pressure of the

device is configured such that the surface does not substantially conform to the corresponding joint surface.

[0096] **FIGS. 13A-J(1-3)** illustrate a variety of cross-sections possible for the embodiments shown in **FIGS. 11-12**. These embodiments illustrate possible profiles achieved with a single balloon (**FIGS. 13A(1-3)**); a dual balloon embodiment wherein one balloon fits within a second balloon in approximately a central position (**FIG. 13B(1-3)**) or in an off-centered position within a second balloon (**FIGS. 13D(1-3)**); a tri-balloon set-up where two balloons fit within a first balloon (**FIGS. 13C(1-3)**), three balloons are positioned next to each other (**FIGS. 13H(1-3)**), or two balloons are adjacent each other while one balloon has another balloon within its lumen (**FIGS. 13E(2-3), F(2), G(2)**); a four balloon set-up where two balloons are adjacent each other and each one has a balloon within its lumen (**FIG. 13G(3)**) or three balloons are adjacent each other with at least one of the three balloons having another balloon within its lumen (**FIGS. 13I(2-3)**), or a five balloon set up where three balloons are positioned adjacent each other and two of the three balloons have balloons within its lumen (**FIG. 13J(1)**). As will be appreciated by those of skill in the art, other combinations and profiles are achievable using the teachings of the invention without departing from the scope of the invention. All possible combinations have not been illustrated in order to avoid obscuring the invention.

[0097] In another embodiment, a probe can be inserted into the balloon or the device. The probe can be utilized for measuring the device thickness (e.g. minima and maxima). In this and other embodiments, the balloon can be initially injected with a test material that is typically not hardening. Once inside the balloon or the device, the thickness of the device or the balloon can be measured, e.g. for a given inflation pressure. In this manner, a sufficient minimum implant thickness can be ensured.

Probes to measure the thickness of the device or the balloon include, but are not limited to ultrasound, including A-, B- or C-scan.

[0098] The foregoing description of embodiments of the present invention has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Many modifications and variations will be apparent to the practitioner skilled in the art. The embodiments were chosen and described in order to best explain the principles of the invention and its practical application, thereby enabling others skilled in the art to understand the invention and the various embodiments and with various modifications that are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims equivalents thereof.

WHAT IS CLAIMED:

5 1. A customizable implant configured for placement between joint surfaces formed by inserting a hollow device having an aperture and a lumen into a target joint, and injecting material into the hollow device to form an implant.

10 2. The implant of claim 1 wherein a second hollow device is advanced through a lumen of the first hollow device and extends through a port located along a length of the first hollow device such that the second hollow device engages the surface of one of a meniscal surface and subchondral bone.

 3. The implant of claims 1 and 2 wherein the implant is removed from the joint after the material is injected.

 4. The implant of claims 1 and 2 wherein the implant is processed after the material is injected.

15 5. The implant of claim 3 wherein the implant is installed between the joint surfaces.

 6. The implants of claim 1 and 2 wherein the implant is formed outside the joint.

20 7. The implants of claims 1 and 2 wherein the implant is formed inside the joint.

 8. The implants of claim 1 and 2 wherein the implant is fixed within the joint.

 9. The implants of claim 1 and 2 wherein the fit of the implant is tested *in situ*.

10. The implant of claim 1 wherein a second hollow device having an aperture and a lumen is slidably advanced over the first hollow device.

5 11. The implant of claim 1 wherein a second hollow device having an aperture and a lumen is inserted into the joint adjacent the first hollow device.

10 12. The implant of claims 10 and 11 wherein a third hollow device is inserted into the joint wherein the third hollow device communicates with at least one of the first hollow device and second hollow device.

13. The implant of claim 10 wherein the hollow device has a lumen of variable thickness.

14. The implant of claim 10 wherein the hollow device has a lumen of variable stiffness.

15 15. The implant of claims 10 and 11 wherein the device conforms to at least one joint surface.

16. The implant of claims 10 and 11 wherein the device abuts at least one joint surface.

20 17. The implant of claims 10 and 11 wherein the device surrounds a defect within a joint.

18. The implant of claims 10 and 11 wherein the device extends beyond at least one perimeter of the joint.

19. The implant of claim 11 wherein the retaining device engages a joint surface along its perimeter and forms a cavity thereunder.

25 20. The implant of claim 11 wherein the joint surface is at least one of meniscal surface and subchondral bone.

21. The implant of claim 11 wherein the joint surface is prepared prior to injection by at least one of meniscal removal, aperture creation, and abrasion.

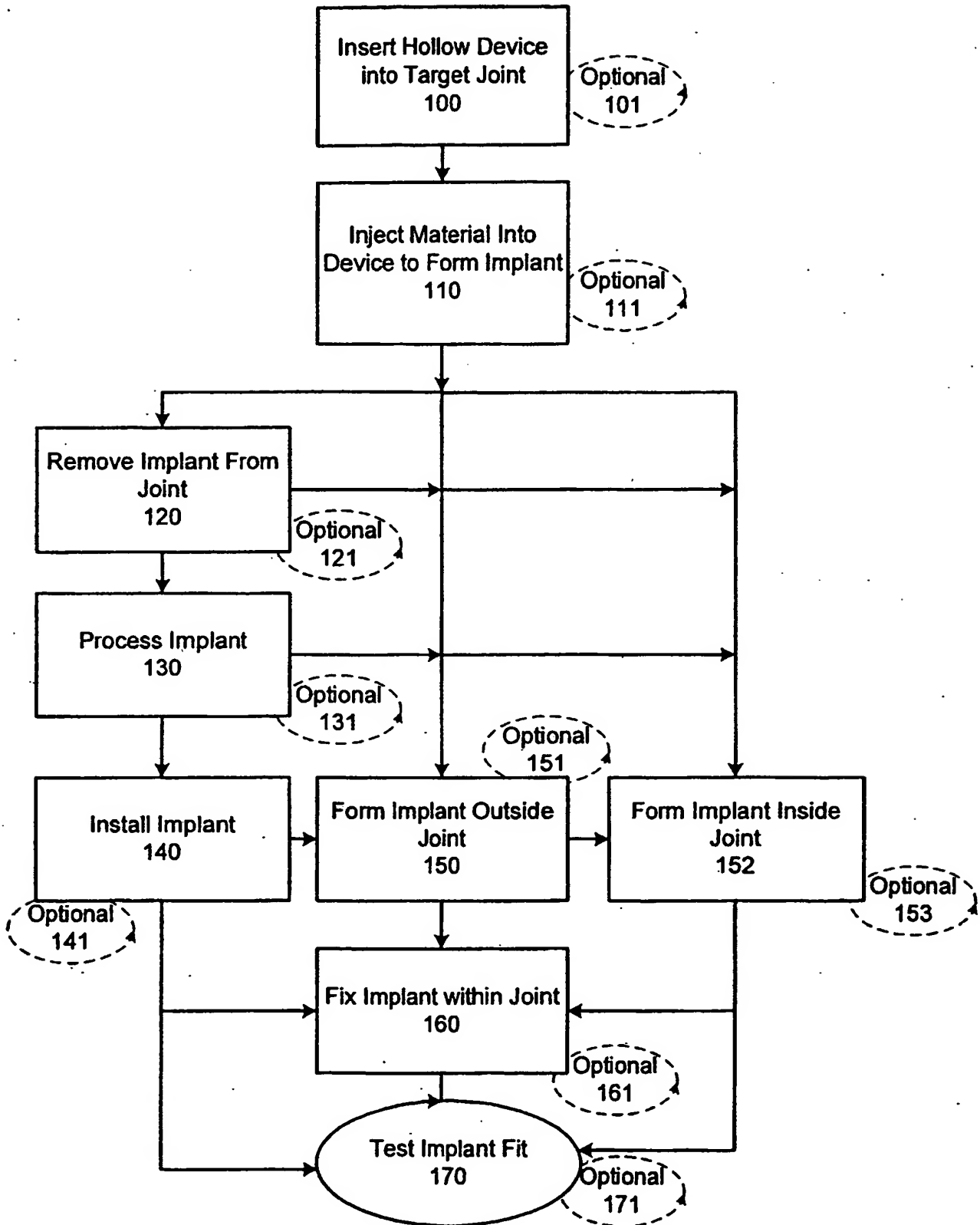
5 22. The implant of claims 10 and 11 wherein the injection material is selected from the group consisting of: polymer, metal, gases, and crystal free metals.

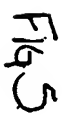
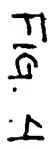
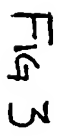
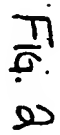
23. The implant of claims 10 and 11 wherein the joint surface is prepared to provide a cylindrical opening.

10 24. The implant of claims 23 wherein the injecting material is located within the cylindrical opening on the joint surface and forms an anchoring device.

15 25. The implant of claim 10 wherein a third hollow device is advanced through a lumen of the first hollow device and extends through a port located along a length of the first hollow device such that the third hollow device engages the surface of one of a meniscal surface and subchondral bone.

20 26. The implant of claim 10 wherein a third hollow device is advanced through a lumen of the second hollow device and extends through a port located along a length of the second hollow device such that the third hollow device engages the surface of one of a meniscal surface and subchondral bone.

**FIG. 1**



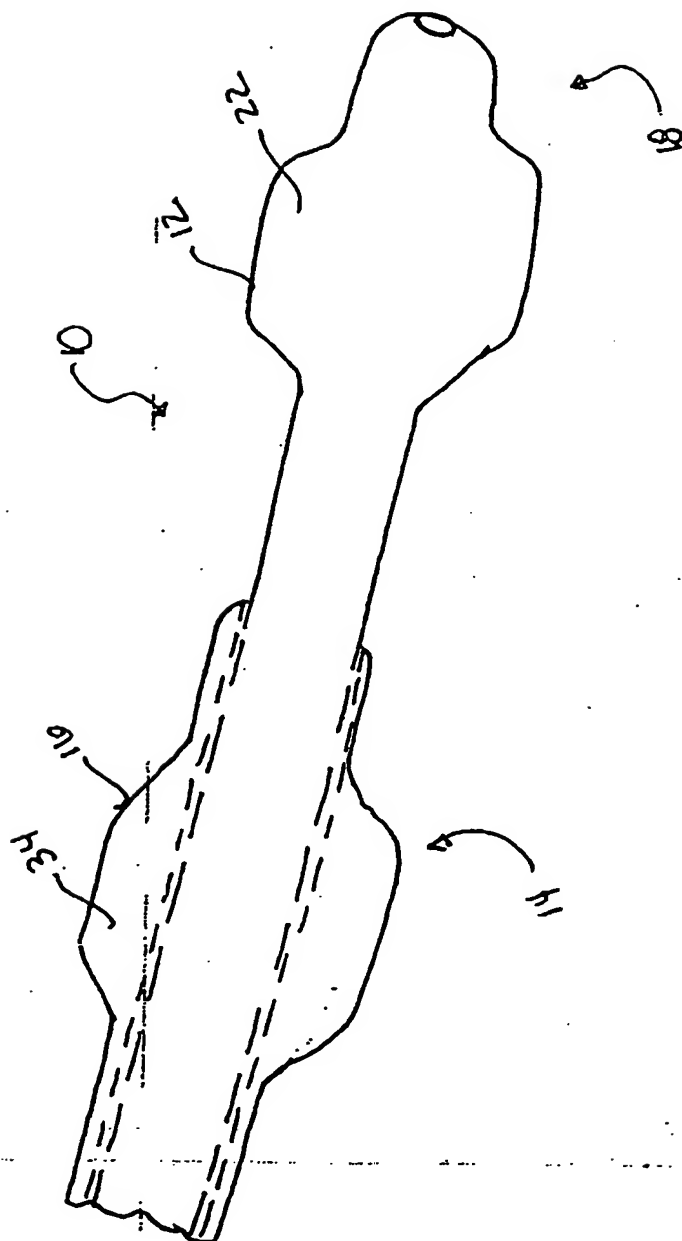


FIG. 6

Fig. 7A

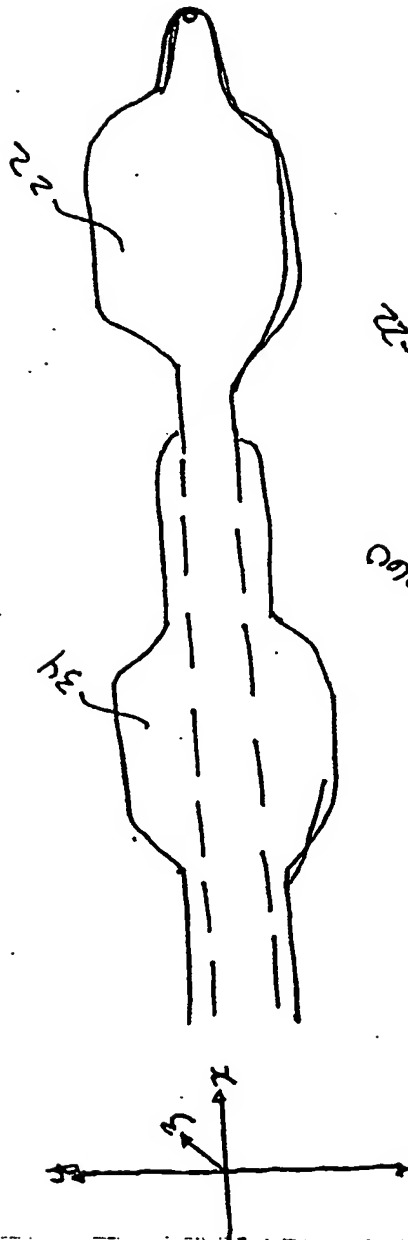
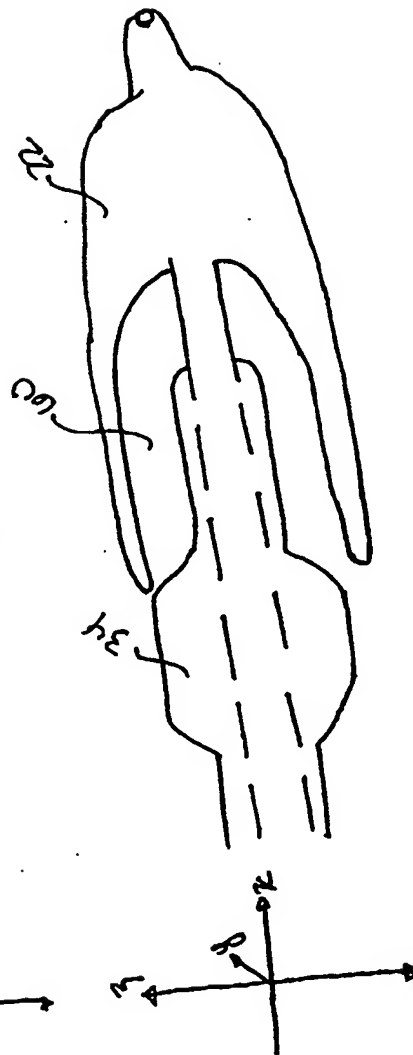


Fig. 7B



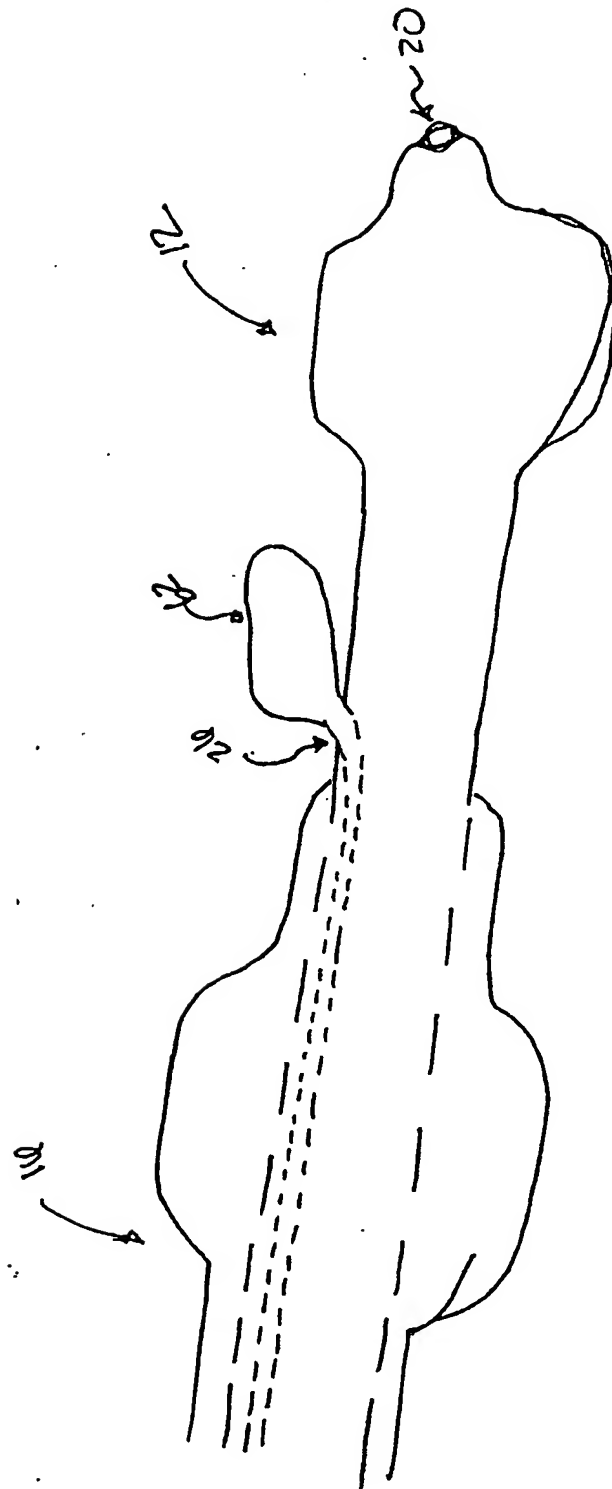


Fig. 8

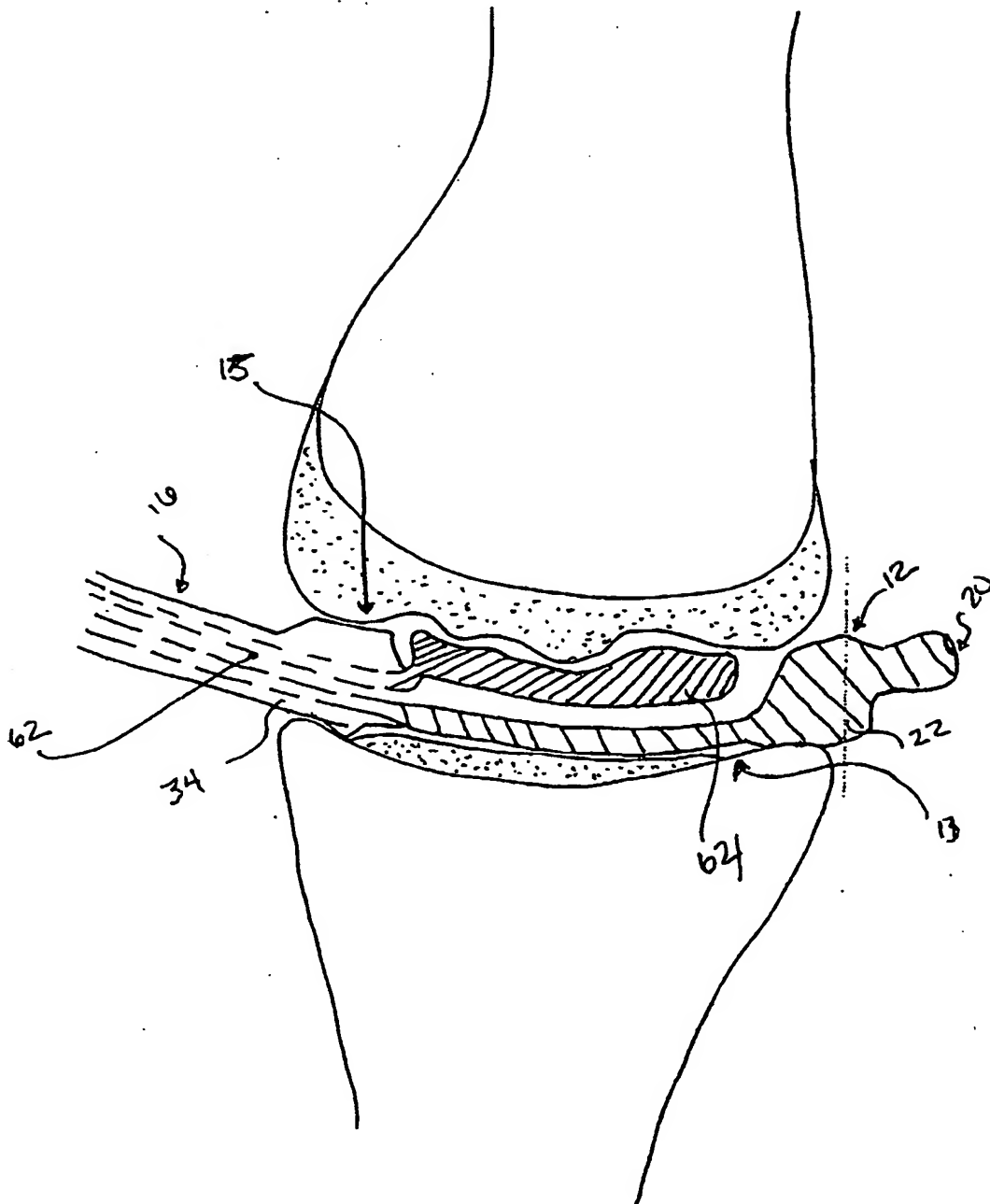


FIG. 9

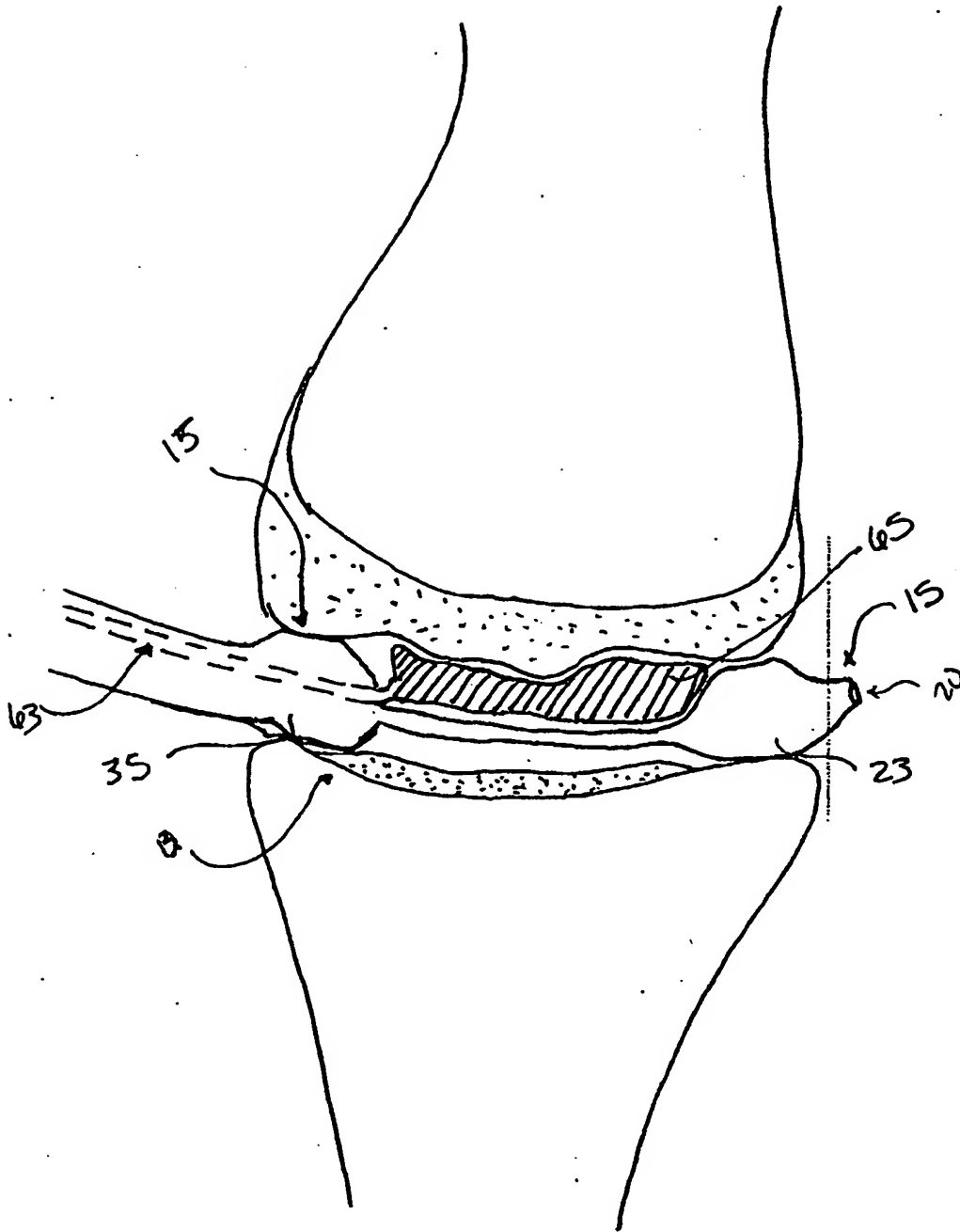
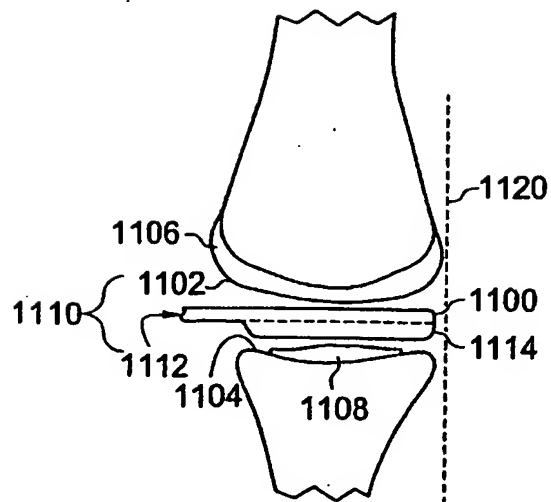
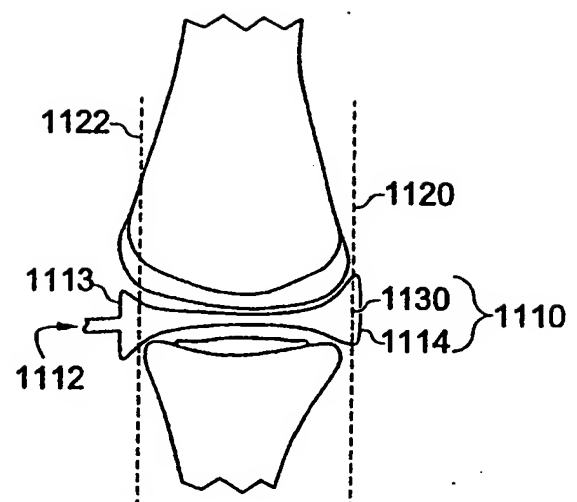
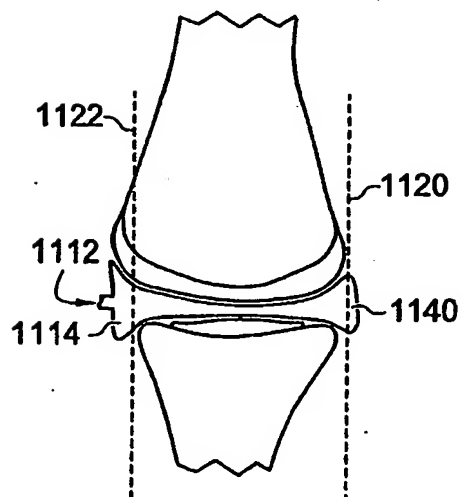
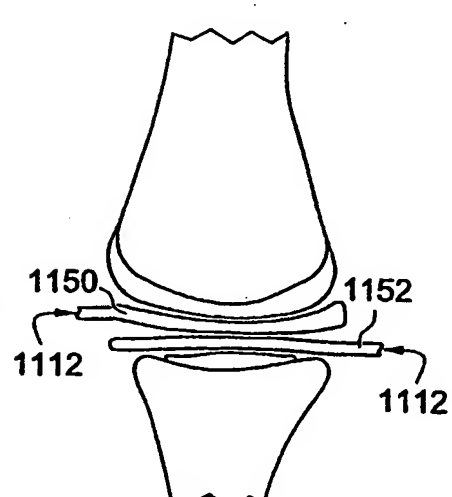
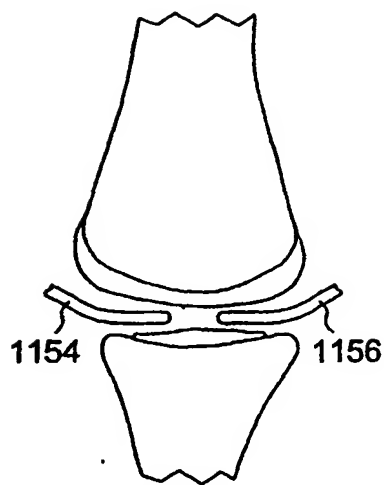
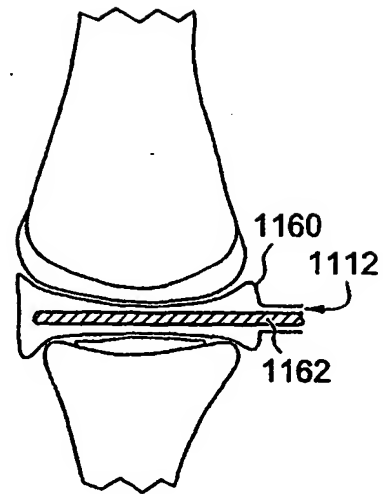
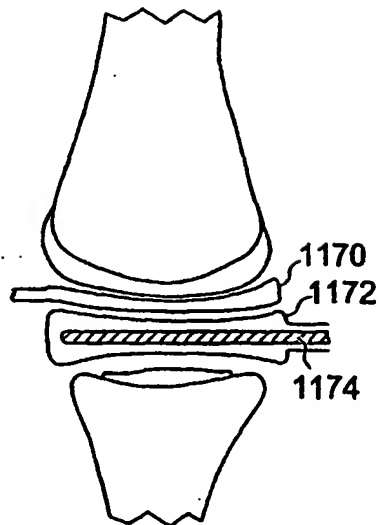
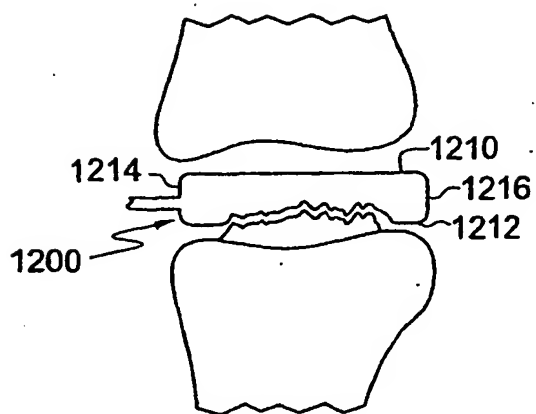
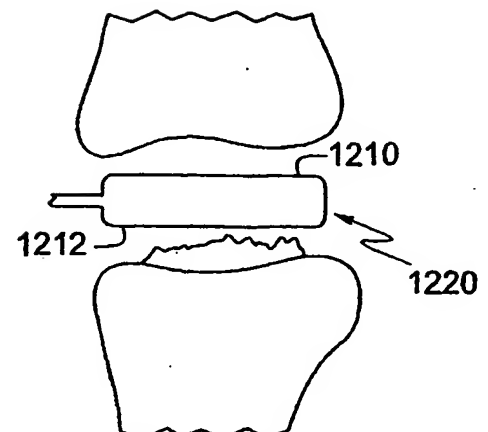
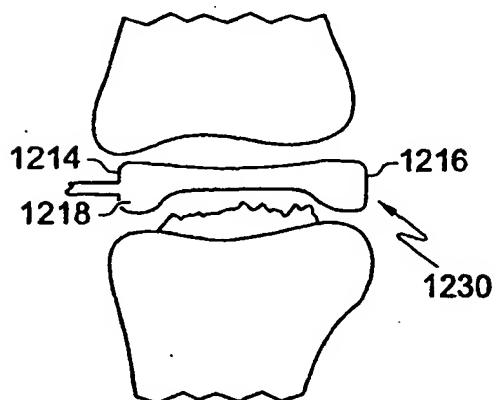
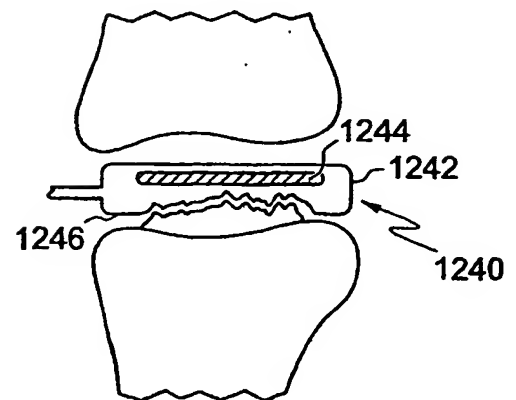
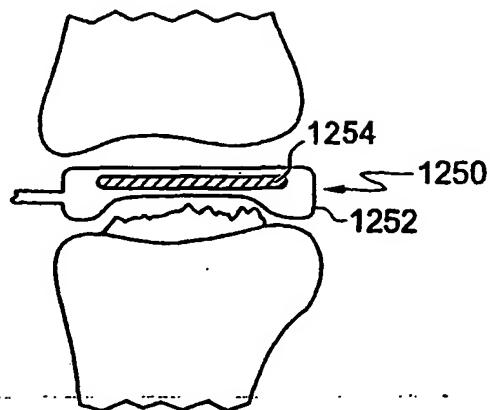


FIG. 10

**FIG. 11A****FIG. 11B****FIG. 11C****FIG. 11D**

**FIG. 11E****FIG. 11F****FIG. 11G**

**FIG. 12A****FIG. 12B****FIG. 12C****FIG. 12D****FIG. 12E**

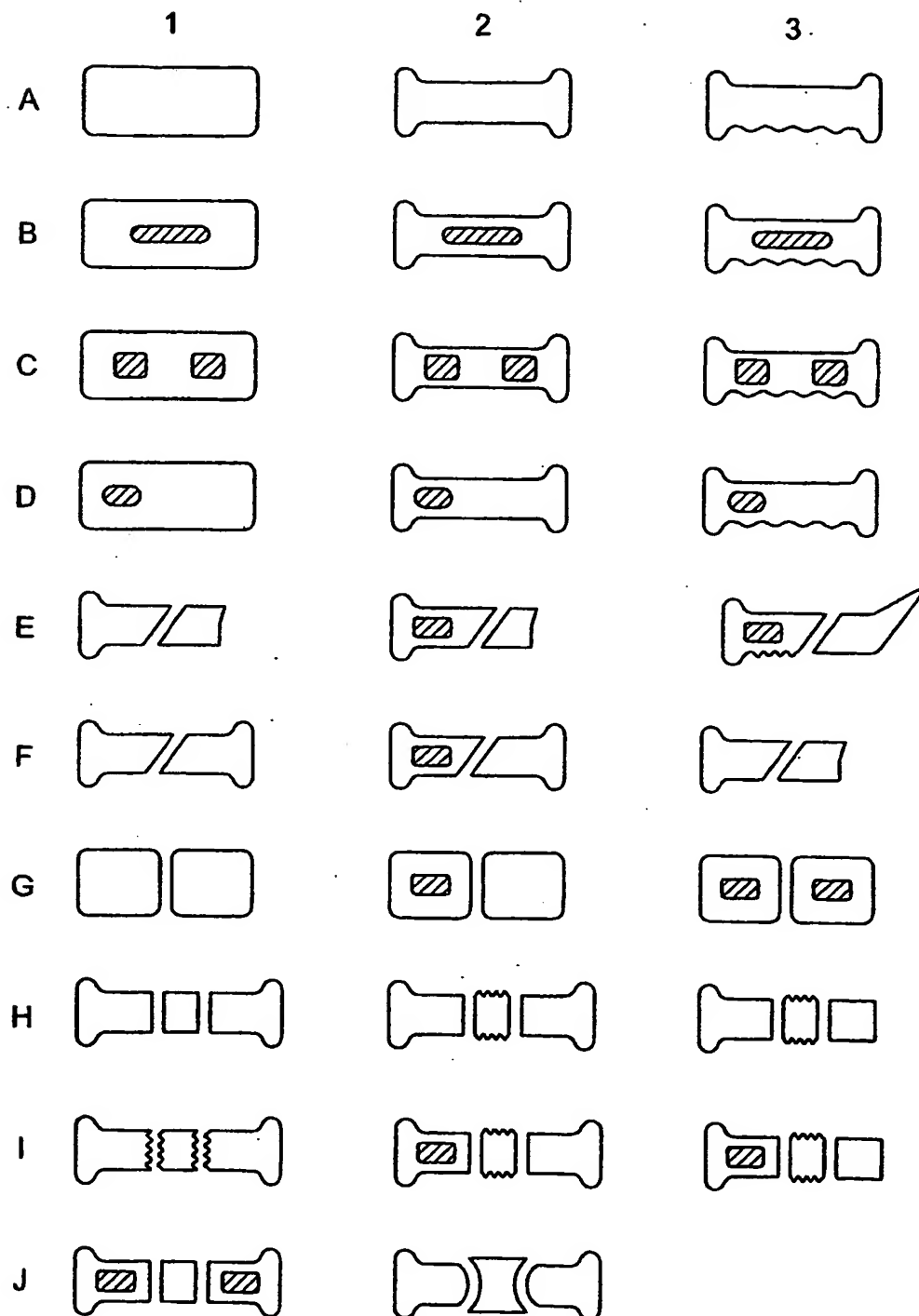


FIG. 13

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/39616

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 2/08

US CL : 623/14.12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/14.12, 20.15, 20.16, 20.17, 20.18, 20.19, 20.2, 20.21, 20.22, 23.19, 20.32, 20.35, 908; 606/86; 264/DIG.30

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
EAST

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,443,988 B2 (Felt et al.) 03 September 2002 (03.09.2002), see entire document	1-11, 13-24
A	US 6,632,235 B2 (Weikel et al) 14 October 2003 (14.10.2003), see entire document	1-26
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☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

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